Enhanced Competency Framework (ECF) on
Fintech (Professional Level)
Training and Examination Schedule – 2023

Important Notes:

1. HKIB is appointed as the Professional Qualification Assessment Agency effective from 1 August 2020.
2. Learners who pass the examination under Assessment Agencies of Professional Qualifications with relevant work experience will be eligible to pursue the QF-recognised Professional Qualifications (i.e. CPFinT(M)[1], CPFinT(S-AIBD)[2], CPFinT(S-DLT)[3], CPFinT(S-OBAPI)[4], CPFinT(S-RT))[5].

   For more details about Assessment Agencies for Professional Qualifications and Learning Programme Accreditation, please refer to the accreditation page under HKIB website for reference.

   [1] Recognised under the QF at Level 6, QR Registration No.: 22/000867/L6), Validity Period from 01/11/2022 to 31/07/2025.
   [2] Recognised under the QF at Level 6, QR Registration No.: 22/000868/L6), Validity Period from 01/11/2022 to 31/07/2025.
   [3] Recognised under the QF at Level 6, QR Registration No.: 22/000869/L6), Validity Period from 01/11/2022 to 31/07/2025.
   [4] Recognised under the QF at Level 6, QR Registration No.: 22/000870/L6), Validity Period from 01/11/2022 to 31/07/2025.
   [5] Recognised under the QF at Level 6, QR Registration No.: 22/000871/L6), Validity Period from 01/11/2022 to 31/07/2025.

ECF-Fintech Professional Level Reference Table

Management Track - Certified Fintech Professional (Management)	:	Module 5 + Module 9 + Module 10
Specialist Track – Certified Fintech Professional (Specialist – Artificial Intelligence and Big Data Stream)	:	Module 5 + Module 6
Specialist Track – Certified Fintech Professional (Specialist – Distributed Ledger Technology Stream)	:	Module 5 + Module 7
Specialist Track – Certified Fintech Professional (Specialist – Open Banking and Application Programming Interface Stream)	:	Module 5 + Module 8
Specialist Track – Certified Fintech Professional (Specialist – Regtech Stream)	:	Module 5 + Module 11

ATTENTION:
In light of the recent surge in local COVID-19 cases and in accordance with the latest government policy, applicants should refer to the latest Vaccine Pass Arrangements of HKUST for Campus Access (https://covid19info.hkust.edu.hk/quarantine-arrangements).

Training: Q1 – Q2 2023   CPD - HKIB CPD[1]   CPT - SFC CPT

#Learners can enrol in modules available in different cohorts

Management Track - Certified Fintech Professional (Management)
Cohort 1

Module Name	Module No.	Training Code	Date & Time	Language	Application Deadline[2]	Fee[3,4] (HKD)	Venue
Regulatory Trends and Data Ethics (30 credits)	Module 5	HQFI005PP230101 **COMPLETED**	7 Jan 2023 (Sat) 10:00 – 13:00 14 Jan 2023 (Sat) 10:00 – 13:00 28 Jan 2023 (Sat) 10:00 – 13:00 4 Feb 2023 (Sat) 10:00 – 13:00 11 Feb 2023 (Sat) 10:00 – 13:00 18 Feb 2023 (Sat) 10:00 – 13:00 25 Feb 2023 (Sat) 10:00 – 13:00	English (supplemented with English materials)	26 Dec 2022 (Mon)	10,900	HKUST (Clear Water Bay)
Business Strategy and Marketing (30 credits)	Module 9	TBC	TBC	English (supplemented with English materials)	TBC	10,900	TBC
Fintech Product Management (30 credits)	Module 10	TBC	TBC	English (supplemented with English materials)	TBC	10,900	TBC

All sessions of Cohort 1 will be conducted face-to-face with video recording during the sessions. For subsequent cohorts, two of the sessions (including the final session) will be conducted face-to-face/ live streaming. The rest of the sessions will be conducted using previously recorded material, facilitated by a designated teaching assistant appointed by HKUST[5].

Specialist Track – Certified Fintech Professional (Specialist – Artificial Intelligence and Big Data Stream)

Cohort 1

Module Name	Module No.	Training Code	Date & Time	Language	Application Deadline[2]	Fee[3,4] (HKD)	Venue
Regulatory Trends and Data Ethics (30 credits)	**Module 5**	HQFI005PP230101 **COMPLETED**	7 Jan 2023 (Sat) 10:00 – 13:00 14 Jan 2023 (Sat) 10:00 – 13:00 28 Jan 2023 (Sat) 10:00 – 13:00 4 Feb 2023 (Sat) 10:00 – 13:00 11 Feb 2023 (Sat) 10:00 – 13:00 18 Feb 2023 (Sat) 10:00 – 13:00 25 Feb 2023 (Sat) 10:00 – 13:00	English (supplemented with English materials)	26 Dec 2022 (Mon)	10,900	HKUST (Clear Water Bay)
Artificial Intelligence and Big Data (30 credits)	**Module 6**	HEFT06P23051	13 May 2023 (Sat) 10:00 – 13:00 20 May 2023 (Sat) 10:00 – 13:00 27 May 2023 (Sat) 10:00 – 13:00 3 Jun 2023 (Sat) 10:00 – 13:00 10 Jun 2023 (Sat) 10:00 – 13:00 17 Jun 2023 (Sat) 10:00 – 13:00 24 Jun 2023 (Sat) 10:00 – 13:00	English (supplemented with English materials)	28 Apr 2023 (Fri)	10,900	HKUST (Clear Water Bay)

All sessions of Cohort 1 will be conducted face-to-face with video recording during the sessions. For subsequent cohorts, two of the sessions (including the final session) will be conducted face-to-face/ live streaming. The rest of the sessions will be conducted using previously recorded material, facilitated by a designated teaching assistant appointed by HKUST[5].

Specialist Track – Certified Fintech Professional (Specialist – Distributed Ledger Technology Stream)

Cohort 1

Module Name	Module No.	Training Code	Date & Time	Language	Application Deadline[2]	Fee[3,4] (HKD)	Venue
Regulatory Trends and Data Ethics (30 credits)	**Module 5**	HQFI005PP230101 **COMPLETED**	7 Jan 2023 (Sat) 10:00 – 13:00 14 Jan 2023 (Sat) 10:00 – 13:00 28 Jan 2023 (Sat) 10:00 – 13:00 4 Feb 2023 (Sat) 10:00 – 13:00 11 Feb 2023 (Sat) 10:00 – 13:00 18 Feb 2023 (Sat) 10:00 – 13:00 25 Feb 2023 (Sat) 10:00 – 13:00	English (supplemented with English materials)	26 Dec 2022 (Mon)	10,900	HKUST (Clear Water Bay)
Distributed Ledger Technology (30 credits)	**Module 7**	TBC	TBC	English (supplemented with English materials)	TBC	10,900	TBC

All sessions of Cohort 1 will be conducted face-to-face with video recording during the sessions. For subsequent cohorts, two of the sessions (including the final session) will be conducted face-to-face/ live streaming. The rest of the sessions will be conducted using previously recorded material, facilitated by a designated teaching assistant appointed by HKUST[5].

Specialist Track – Certified Fintech Professional (Specialist – Open Banking and Application Programming Interface Stream)

Cohort 1

Module Name	Module No.	Training Code	Date & Time	Language	Application Deadline[2]	Fee[3,4] (HKD)	Venue
Regulatory Trends and Data Ethics (30 credits)	**Module 5**	HQFI005PP230101 **COMPLETED**	7 Jan 2023 (Sat) 10:00 – 13:00 14 Jan 2023 (Sat) 10:00 – 13:00 28 Jan 2023 (Sat) 10:00 – 13:00 4 Feb 2023 (Sat) 10:00 – 13:00 11 Feb 2023 (Sat) 10:00 – 13:00 18 Feb 2023 (Sat) 10:00 – 13:00 25 Feb 2023 (Sat) 10:00 – 13:00	English (supplemented with English materials)	26 Dec 2022 (Mon)	10,900	HKUST (Clear Water Bay)
Open Banking and Application Programming Interface (30 credits)	**Module 8**	TBC	TBC	English (supplemented with English materials)	TBC	10,900	TBC

All sessions of Cohort 1 will be conducted face-to-face with video recording during the sessions. For subsequent cohorts, two of the sessions (including the final session) will be conducted face-to-face/ live streaming. The rest of the sessions will be conducted using previously recorded material, facilitated by a designated teaching assistant appointed by HKUST[5].

Specialist Track – Certified Fintech Professional (Specialist – Regtech Stream)
Cohort 1

Module Name	Module No.	Training Code	Date & Time	Language	Application Deadline[2]	Fee[3,4] (HKD)	Venue
Regulatory Trends and Data Ethics (30 credits)	**Module 5**	HQFI005PP230101 **COMPLETED**	7 Jan 2023 (Sat) 10:00 – 13:00 14 Jan 2023 (Sat) 10:00 – 13:00 28 Jan 2023 (Sat) 10:00 – 13:00 4 Feb 2023 (Sat) 10:00 – 13:00 11 Feb 2023 (Sat) 10:00 – 13:00 18 Feb 2023 (Sat) 10:00 – 13:00 25 Feb 2023 (Sat) 10:00 – 13:00	English (supplemented with English materials)	26 Dec 2022 (Mon)	10,900	HKUST (Clear Water Bay)
Regtech (30 credits)	**Module 11**	TBC	TBC	English (supplemented with English materials)	TBC	10,900	TBC

All sessions of Cohort 1 will be conducted face-to-face with video recording during the sessions. For subsequent cohorts, two of the sessions (including the final session) will be conducted face-to-face/ live streaming. The rest of the sessions will be conducted using previously recorded material, facilitated by a designated teaching assistant appointed by HKUST[5].

<u>Examination:</u> *Q1 – Q3 2023*

Module Name	Module No.	Examination Code	Date & Time	Language	Application Deadline[2]	Fee[3,4] (HKD)	Venue
Regulatory Trends and Data Ethics (30 credits)	**Module 5**	EXAM-2022-10058	25 Apr 2023 (Tue) 19:00 – 22:00	English	28 Mar 2023 (Tue)	2,100	TBC

Module Name	Module No.	Examination Code	Date & Time	Language	Application Deadline[2]	Fee[3,4] (HKD)	Venue
Artificial Intelligence and Big Data (30 credits)	**Module 6**	EXAM-2022-10061 **REMOTE EXAM** EXAM-2022-10061F **FACE-TO-FACE EXAM**	22 Aug 2023 (Tue) 19:00 – 22:00	English	25 Jul 2023 (Tue)	2,100	TBC

Module Name	Module No.	Examination Code	Date & Time	Language	Application Deadline[2]	Fee[3,4] (HKD)	Venue
Distributed Ledger Technology (30 credits)	**Module 7**	TBC	TBC	English	TBC	2,100	TBC

Module Name	Module No.	Examination Code	Date & Time	Language	Application Deadline[2]	Fee[3,4] (HKD)	Venue
Open Banking and Application Programming Interface (30 credits)	**Module 8**	TBC	TBC	English	TBC	2,100	TBC

Module Name	Module No.	Examination Code	Date & Time	Language	Application Deadline[2]	Fee[3,4] (HKD)	Venue
Business Strategy and Marketing (30 credits)	**Module 9**	TBC	TBC	English	TBC	2,100	TBC

Module Name	Module No.	Examination Code	Date & Time	Language	Application Deadline[2]	Fee[3,4] (HKD)	Venue
Fintech Product Management (30 credits)	**Module 10**	TBC	TBC	English	TBC	2,100	TBC

Module Name	Module No.	Examination Code	Date & Time	Language	Application Deadline[2]	Fee[3,4] (HKD)	Venue
Regtech (30 credits)	**Module 11**	TBC	TBC	English	TBC	2,100	TBC

Special Note: Learners are required to complete the training before attempting the examination

Programme Details:

Programme / Module Outline	:	Please refer to programme brochure and handbook for details.
Enrolment	:	Applicants can submit via the electronic application form in HKIB webpage.

Notes:

Note	Category	Details
1	CPD	By attending the above training, Professional Qualification holders of CPFinT(A), CPFinT(M), CPFinT(S-AIBD), CPFinT(S-DLT), CPFinT(S-OBAPI), CPFinT(S-RT), ACRP, CCRP(CL), CCRP(CPM), ACsP, AORP, CORP, ARWP, CRWP, CB (Stage I), CB (Stage II), CB and CFMP are eligible to claim HKIB CPD hours.
2	Late Application	A late entry fee of HK$200 will apply for late training and examination enrolment respectively.
3	Fee Discount	HKIB student members can enjoy 25% off training fee discount and 50% off examination fee discount respectively.
4	Training Material	The training material (i.e. Study Guide) will be provided. In addition, learners have to purchase reference book(s) on their own as a part of the essential readings (if any). For details, please refer to the essential reading list in the Programme Handbook under each module.
5	Delivery Mode	All sessions of Cohort 1 will be conducted face-to-face with video recording during the sessions. For subsequent cohorts, two of the sessions (including the final session) will be conducted face-to-face/ live streaming. The rest of the sessions will be conducted using previously recorded material, facilitated by a designated teaching assistant appointed by HKUST.
6	Others	HKIB reserves the right to cancel, change, and/or postpone the training and/or examination schedule.

For General Enquiries / Feedback:

Address: 3/F Guangdong Investment Tower, 148 Connaught Road Central, Hong Kong (Sheung Wan MTR Station Exit C)

Tel: (852) 2153 7800   Email: cs@hkib.org